

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

TODD LEWIS ASHKER and DANNY TROXELL,

No. C 04-1967 CW

Plaintiffs,

ORDER ON CROSS-  
MOTIONS FOR

v.

SUMMARY JUDGMENT

ARNOLD SCHWARZENEGGER; R.Q. HICKMAN;  
EDWARD ALAMEIDA, JR; JEANNE WOODFORD;  
JOE MCGRATH; CAROL A. DALY; SHARON  
LAWIN; CAL TERHUNE; GEORGE LEHMAN;  
MR. ROOS; BOOKER T. WELCH; BRETT  
GRANLUND; LARRY STARN; KENNETH L.  
RISEN; JONES M. MOORE; GRAY DAVIS;  
PETE WILSON; JAMES GOMEZ; and DOES 1  
through 10;

Defendants.

Plaintiffs Todd Ashker and Danny Troxell move for summary judgment on Plaintiff Ashker's claim that Pelican Bay State Prison's ban on hardcover books violated his First Amendment rights. Defendant Joe McGrath opposes the motion and cross moves for summary judgment. Plaintiffs oppose Defendant's cross-motion.

1 The matter was heard on March 3, 2006. Having considered all of  
2 the papers filed by the parties, the evidence cited therein and  
3 oral argument on the motions, the Court grants in part Plaintiffs'  
4 motion for summary judgment and grants in part Defendant's cross-  
5 motion for summary judgment.

#### 6 BACKGROUND

7 Plaintiffs are prisoners of the State of California who are  
8 incarcerated in the Security Housing Unit (SHU) at Pelican Bay  
9 State Prison (PBSP).

10 The California Code of Regulations provides that prisoners can  
11 purchase softcover books. 15 C.C.R. § 3138(f)(1). It is silent as  
12 to whether prisoners can purchase hardcover books. In January,  
13 2000, PBSP's Operational Procedure No. 806, however, stated that,  
14 "No hardbound books are allowed." This remained the written policy  
15 for several years. But, in practice, hardbound books were allowed  
16 in the SHU as long as the hard covers were removed. As a staff  
17 member wrote on July 22, 2002, "It is our policy to remove the  
18 covers, even though the OP 806(L)(6) states, 'No hardbound books  
19 are allowed.'" Dec. of Frank Clement, Ex. C (Inmate/Parolee Appeal  
20 Form). Before a hard cover could be removed, an inmate would have  
21 to sign an agreement that allowed the PBSP staff to remove the hard  
22 cover and absolved PBSP and its staff from liability for removing  
23 the cover.

24 At some point, however, the no hardbound books policy was  
25 enforced. According to Plaintiff Ashker, the PBSP-SHU staff did  
26 not enforce this policy until after this Court, in September, 2002,  
27 issued a permanent injunction that enjoined PBSP from prohibiting

1 inmates from receiving books, periodicals, magazines or calendars  
2 solely because a book label approved by the prison was not  
3 attached.<sup>1</sup> See Ashker v. Cal. Dep't of Corrections, 224 F. Supp.  
4 2d 1253, 1264 (N.D. Cal. 2002). Another inmate states that he  
5 became aware that he could not receive hardbound books, even with  
6 the covers removed, on July 15, 2002, when he received a 2002 book  
7 label form that stated "hard cover book(s) are not allowed at  
8 Pelican Bay State Prison." Clement Dec., Ex. A. Still other  
9 inmates state that it was not until 2003 that they were no longer  
10 able to receive hardcover books from publishers. See, e.g., Dec.  
11 of Danny Troxell.

12 In December, 2003, Plaintiff Ashker's family sent him a book  
13 titled, Daily Guideposts 2004: Spirit-Lifting Thoughts for Every  
14 Day of the Year. Because it was a hardcover book, PBSP would not  
15 allow Ashker to have the book, even with the cover removed. Ashker  
16 filed an Inmate/Parolee Appeal Form, objecting that the book was  
17 withheld from him and arguing that there was no legitimate reason  
18 for not allowing hardcover books, subject to cover removal. At the  
19 informal level, Ashker's appeal was denied:

20 OP 806, signed by the Warden, states hard bound books are  
21 not allowed. Due to appeal issues when hard-bound books  
22 had there [sic] covers removed, and making inmate alter a  
23 \$60.00 to \$100.00 book, it is no longer practiced. Also  
24 most books are offered in soft covers after they are  
25 released.

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26 <sup>1</sup> In their papers, Plaintiffs state that the enforcement of  
27 the no-hardbound-books policy was in retaliation for Ashker having  
28 prevailed in the book label case. But this allegation is not in  
their complaint. Instead, their complaint alleges that Defendant  
enforced the policy in retaliation for inmates complaining that  
staff damaged their books while removing the hard covers.

1 Dec. of Todd Ashker, Ex. D. Ashker's appeal was also denied at the  
2 formal level. Attached to the denial of his appeal was an October  
3 21, 2002 memorandum from former Warden, Defendant McGrath, stating  
4 that book purchases will not require a book label and that the  
5 "current PBSP policy of ten books in possession, new books only and  
6 no hardbound books, remains unchanged." Id.

7 Ashker's appeal was denied on the second-level review and the  
8 third-level review as well. The denial from the second-level  
9 review, written by Defendant McGrath, found that the prohibition on  
10 hardcover books complies with legitimate penological interest:  
11 unlike the cover on a softcover book, the "cover of a hardcover  
12 book has many places to hide contraband." But, Defendant McGrath  
13 recognized, "There is an exception. The covers can be removed from  
14 a hardcover book, and the inmate can be issued the book without its  
15 book cover. This eliminates all the potential hiding places  
16 associated with a hard cover book." The problem with removing the  
17 cover, however, is

18 it also diminishes the durability of the book. The  
19 publisher did not intend handling of the book without  
20 the cover, so this solution has its own problems. In  
21 general, the institution does not support removing the  
22 covers from hardcover books. Millions of books are  
23 available with a soft cover binding. Restricting an  
24 inmate to soft cover books should not result in a  
25 significant reduction of access. The institution has  
26 determined that some educational programs do not have  
27 soft cover books available for their correspondence  
28 courses. In this case, the institution allows the  
hardcover books with the covers removed as there is no  
practical alternative. The present policy is the most  
reasonable approach. In general, hardcover books are  
prohibited from Security Housing Unit (SHU) inmates.

26 Id.

27 In May, 2004, Operation Procedure No. 806 was revised. The

1 new policy stated, "No newly purchased hardbound books are allowed.  
2 Only hardbound books received through an approved correspondence  
3 course (educational books), and previously owned hardbound books  
4 will be modified to meet this security requirement." Dec. of  
5 S. Kays, Ex. A. Under this policy, Plaintiff Ashker, after he  
6 signed a "Statement of Agreement to Modify Hard Cover Educational  
7 Books," was able to receive the hardcover books required for an  
8 approved paralegal correspondence course he was taking. Ashker  
9 Dec., Ex. E.; Kays Dec., Ex. E. But he was not permitted to obtain  
10 other educational hardbound books that were not part of his  
11 approved correspondence course. Ashker Dec. ¶ 25.

12 In December, 2005, approximately one and a half years after  
13 Plaintiffs filed this action, the hardcover book policy contained  
14 in Operation Procedure No. 806 was again revised. It now allows  
15 inmates to have books that are "paperback or hardback with covers  
16 removed." Kays Dec., Ex. C (Personal Property Plan, p.5). On  
17 January 23, 2006, Warden Richard Kirkland sent a memorandum to all  
18 staff. The purpose of the memorandum was to "reiterate/clarify the  
19 Pelican Bay State Prison Personal Property Schedule -- 2005  
20 addendum dated December 16, 2005, as related to the issuance of  
21 allowable books." Id., Ex. D. The memorandum stated that "all  
22 hardcover books are permitted with the cover removed. This applies  
23 to both educational and non-educational type books. While staff  
24 may determine a book not meeting all requirements to be  
25 unauthorized, determination will not be based solely upon a book's  
26 cover." Id.

## LEGAL STANDARD

## I. Motion for Summary Judgment

Summary judgment is properly granted when no genuine and disputed issues of material fact remain, and when, viewing the evidence most favorably to the non-moving party, the movant is clearly entitled to prevail as a matter of law. Fed. R. Civ. P. 56; Celotex Corp. v. Catrett, 477 U.S. 317, 322-23 (1986); Eisenberg v. Ins. Co. of N. Am., 815 F.2d 1285, 1288-89 (9th Cir. 1987).

The moving party bears the burden of showing that there is no material factual dispute. Therefore, the court must regard as true the opposing party's evidence, if supported by affidavits or other evidentiary material. Celotex, 477 U.S. at 324; Eisenberg, 815 F.2d at 1289. The court must draw all reasonable inferences in favor of the party against whom summary judgment is sought. Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986); Intel Corp. v. Hartford Accident & Indem. Co., 952 F.2d 1551, 1558 (9th Cir. 1991).

Material facts which would preclude entry of summary judgment are those which, under applicable substantive law, may affect the outcome of the case. The substantive law will identify which facts are material. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986).

Where the moving party does not bear the burden of proof on an issue at trial, the moving party may discharge its burden of production by either of two methods. Nissan Fire & Marine Ins. Co., Ltd., v. Fritz Cos., Inc., 210 F.3d 1099, 1106 (9th Cir.

1 2000).

2 The moving party may produce evidence negating an  
3 essential element of the nonmoving party's case, or,  
4 after suitable discovery, the moving party may show that  
5 the nonmoving party does not have enough evidence of an  
6 essential element of its claim or defense to carry its  
7 ultimate burden of persuasion at trial.

8 Id.

9 If the moving party discharges its burden by showing an  
10 absence of evidence to support an essential element of a claim or  
11 defense, it is not required to produce evidence showing the absence  
12 of a material fact on such issues, or to support its motion with  
13 evidence negating the non-moving party's claim. Id.; see also  
14 Lujan v. Nat'l Wildlife Fed'n, 497 U.S. 871, 885 (1990); Bhan v.  
15 NME Hosps., Inc., 929 F.2d 1404, 1409 (9th Cir. 1991). If the  
16 moving party shows an absence of evidence to support the non-moving  
17 party's case, the burden then shifts to the non-moving party to  
18 produce "specific evidence, through affidavits or admissible  
19 discovery material, to show that the dispute exists." Bhan, 929  
20 F.2d at 1409.

21 If the moving party discharges its burden by negating an  
22 essential element of the non-moving party's claim or defense, it  
23 must produce affirmative evidence of such negation. Nissan, 210  
24 F.3d at 1105. If the moving party produces such evidence, the  
25 burden then shifts to the non-moving party to produce specific  
26 evidence to show that a dispute of material fact exists. Id.

27 If the moving party does not meet its initial burden of  
28 production by either method, the non-moving party is under no  
obligation to offer any evidence in support of its opposition. Id.

1 This is true even though the non-moving party bears the ultimate  
2 burden of persuasion at trial. Id. at 1107.

3 Where the moving party bears the burden of proof on an issue  
4 at trial, it must, in order to discharge its burden of showing that  
5 no genuine issue of material fact remains, make a prima facie  
6 showing in support of its position on that issue. UA Local 343 v.  
7 Nor-Cal Plumbing, Inc., 48 F.3d 1465, 1471 (9th Cir. 1994). That  
8 is, the moving party must present evidence that, if uncontroverted  
9 at trial, would entitle it to prevail on that issue. Id.; see also  
10 Int'l Shortstop, Inc. v. Rally's, Inc., 939 F.2d 1257, 1264-65 (5th  
11 Cir. 1991). Once it has done so, the non-moving party must set  
12 forth specific facts controverting the moving party's prima facie  
13 case. UA Local 343, 48 F.3d at 1471. The non-moving party's  
14 "burden of contradicting [the moving party's] evidence is not  
15 negligible." Id. This standard does not change merely because  
16 resolution of the relevant issue is "highly fact specific." Id.

17 II. Prisoners' Constitutional Claims

18 "Prison walls do not form a barrier separating prison inmates  
19 from the protections of the Constitution." Turner v. Safley, 482  
20 U.S. 78, 84 (1987). Where prison rules or regulations impede the  
21 exercise of a prisoner's constitutional rights, federal courts must  
22 discharge their duty to protect those rights. See id. However,  
23 courts must be aware that they are "ill equipped to deal with the  
24 increasingly urgent problems of prison administration and reform."  
25 Id. (citation and internal quotation marks omitted). Where the  
26 regulations of a State prison are involved, "federal courts have  
27 . . . additional reason to accord deference to the appropriate  
28



1 prison authorities." Id. at 85 (citation and internal quotation  
2 marks omitted).

3 A prison regulation that limits a prisoner's exercise of his  
4 or her constitutional rights will thus be upheld where it  
5 "reasonably relate[s] to a legitimate penological interest." Id.  
6 at 89-90. This determination entails consideration of four  
7 factors: (1) whether there is a rational relationship between the  
8 regulation and the proffered legitimate government interest;  
9 (2) whether inmates have alternative means of exercising their  
10 asserted rights; (3) how accommodation of the claimed  
11 constitutional right will affect guards, a prisoner's fellow  
12 inmates, and the allocation of prison resources; and (4) whether  
13 the policy is an "exaggerated response" to the jail's concerns.  
14 Id.; see also Mauro v. Arpaio, 188 F.3d 1054, 1058-59 (9th Cir.  
15 1999).

#### 16 DISCUSSION

##### 17 I. First Amendment Claim

18 It is not disputed that Plaintiffs, even while incarcerated in  
19 the SHU, retain First Amendment rights not inconsistent with their  
20 status as prisoners or with legitimate penological objectives of  
21 the corrections system. See Pell v. Procunier, 417 U.S. 817, 822  
22 (1974); Prison Legal News v. Cook, 238 F.3d 1145, 1149 (9th Cir.  
23 2001). Regulations affecting prisoners' access to publications are  
24 valid only if they are reasonably related to legitimate penological  
25 interests. See Thornburgh v. Abbott, 490 U.S. 401, 413 (1989)  
26 (citing Turner, 482 U.S. at 89). Regulations to be viewed with  
27 caution include those which categorically prohibit access to a  
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1 broad range of materials. See Keenan v. Hall, 83 F.3d 1083, 1093  
2 (9th Cir. 1996), amended, 135 F.3d 1318 (9th Cir. 1998) (allowing  
3 challenge to prison's "publisher's only" rule that applied to  
4 softcover books); see also Johnson v. Moore, 948 F.2d 517, 520 (9th  
5 Cir. 1991) (rule categorically preventing inmates from receiving  
6 softcover books and magazines not sent directly from publisher must  
7 be scrutinized closely).

8 In the instant case, Plaintiffs contend that PBSP's no-  
9 hardbound-books policy impedes their ability to receive books, from  
10 legitimate commercial vendors, that are not available in soft  
11 cover, thus infringing their rights under the First Amendment.  
12 While Defendant states that millions of books are available in  
13 paperback, Defendant does not refute that, as noted in declarations  
14 submitted by Plaintiffs and other inmates, many books are not  
15 available in paperback, especially educational, legal and resource  
16 books. See, e.g., Dec. of Kenneth Johnson ¶ 6 ("It has been my  
17 personal experience that most of the books related to the subjects  
18 that I have focused my studies on (history, civics, law,  
19 philosophy, human anatomy) that are in depth, only come in hardback  
20 editions and are not available in soft cover editions."). Because  
21 this claim implicates Plaintiffs' right to receive numerous  
22 materials, this regulation must be reviewed closely. See Johnson,  
23 948 F.2d at 520.

24 A. Rational Relationship

25 As stated above, the Court must first consider whether there  
26 is a rational relationship between PBSP's ban on hardcover books  
27 and the prison's proffered legitimate government interests. This  
28

1 requires that the Court examine whether PBSP's objective  
2 is legitimate and neutral, and whether the policy is rationally  
3 related to that objective. See Mauro, 188 F.3d at 1059.

4 Defendant states that the reason behind the ban on hardcover  
5 books was to maintain security in the prison by limiting inmates'  
6 access to contraband and articles which could constitute safety  
7 hazards or a breach of security. Preventing the introduction of  
8 contraband and ensuring prison security are legitimate penological  
9 interests. Bell v. Wolfish, 441 U.S. 520, 553-55 (1979)  
10 (introduction of contraband); Casey v. Lewis, 4 F.3d 1516, 1520-21  
11 (9th Cir. 1993) (same); Thornburgh, 490 U.S. at 415 (prison  
12 security); Mauro, 188 F.3d at 1059 (same). And, as the Supreme  
13 Court explained, "Where, as here, prison administrators draw  
14 distinctions between publications solely on the basis of their  
15 potential implications for prison security, the regulations are  
16 'neutral' in the technical sense in which we meant and used that  
17 term in Turner." Thornburgh, 490 U.S. at 415-16.

18 But PBSP's no-hardback-books policy is not rationally related  
19 to a legitimate and neutral objective. Turner's "rational  
20 relationship factor . . . is a sine qua non." Prison Legal News,  
21 238 F.3d at 1151 (citing Walker, 917 F.2d at 385). Thus, where the  
22 prison regulation fails to satisfy this factor, the court need not  
23 consider the remaining factors. Id. The burden of proof in  
24 challenges to prison regulations is set forth in Frost v.  
25 Symington, 197 F.3d 348 (9th Cir. 1999). The initial burden is on  
26 the State to put forth a "common-sense" connection between its  
27 policy and a legitimate penological interest. If the State does  
28

1 so, the plaintiff must present evidence that refutes the  
2 connection. Id. at 357. The State must then present enough  
3 counter-evidence to show that the connection is not so "remote as  
4 to render the policy arbitrary or irrational." Id.

5 The evidence Plaintiffs submit, and the evidence submitted by  
6 Defendant, refutes any common-sense connection between the no-  
7 hardbound-books policy and PBSP's legitimate goals of ensuring  
8 against contraband and providing prison safety. As noted above, in  
9 denying Ashker's request for his hardbound book, Defendant  
10 acknowledges that removing the cover from a hardbound book  
11 "eliminates all the potential hiding places." Defendant identifies  
12 "problems" with removing the hard covers: it diminishes the  
13 durability of the book and the publishers did not intend handling  
14 of the book without the cover. Those problems, however, are not  
15 related to prison security. Defendant's concern with the  
16 durability of books is not a legitimate penological interest.

17 In his cross-motion, Defendant further states that hardcover  
18 books are more complicated to process, and that hardcover books,  
19 without their covers, that fall apart are more difficult to search.  
20 With this statement, Defendant is able to meet his initial burden  
21 to put forth a "common-sense" connection between PBSP's policy and  
22 a legitimate penological interest. But this connection is refuted  
23 by declarations provided by Plaintiffs. Numerous inmates state  
24 that their hardcover books, with the covers removed, have not  
25 fallen apart and that, during their many years of incarceration in  
26 the SHU, they are aware of no issues with the books once their  
27 covers were removed. See, e.g., Troxell Dec. ¶ 14 ("I have never  
28

1 seen or heard of hardcover books with covers removed being a  
2 security problem during my 15 years in SHU at Pelican Bay  
3 Prison."); ¶ 17 ("I have had an encyclopedia [with its hardcover  
4 removed] consisting of over 3,000 pages since 1993, and it has not  
5 been damaged in all these years."); Johnson Dec. ¶¶ 8-9, 12  
6 (similar). Inmates explain an uncomplicated process that was in  
7 effect for almost a decade that allowed them to receive hardbound  
8 books without the covers. See, e.g., Ashker Dec. ¶¶ 3-4, 11-12;  
9 Troxell Dec. ¶¶ 4, 7.

10 Defendant provides no counter-evidence, not even a declaration  
11 by a staff member, to dispute the inmates' assertions that it is  
12 not more complicated to process hardcover books and that the books,  
13 without their covers, do not fall apart, making them more difficult  
14 to search. Instead, Defendant states that the hardbound book  
15 regulation was closely related to the goal of preserving prison  
16 security and cites Mauro. In Mauro, however, the "relationship  
17 between the possession of sexually explicit materials and the  
18 problems sought to be addressed by the policy -- sexual harassment  
19 of female officers, jail security and rehabilitation of inmates --  
20 is clear." 188 F.3d at 1059. Here, no such relationship is clear.

21 Plaintiffs note that they are not challenging PBSP's policy  
22 that requires all hardcover books to come directly from vendors and  
23 have their covers removed by staff. They are only challenging  
24 PBSP's policy that prevented inmates from having hardbound books,  
25 even after the covers were removed, a policy that, since this suit  
26 was brought, has been amended. As Defendant acknowledges in his  
27 reply, "Perhaps PBSP's new policy permitting modified hardcover  
28

1 books into the prison is the more constitutionally palatable  
2 solution." The Court must conclude that there is no common sense  
3 relationship between banning hardcover books without their covers  
4 and PBSP's interests in preventing the introduction of contraband  
5 or ensuring prison safety.

6 Although the Court need not consider the remaining factors, it  
7 will. The consideration of those factors makes it even more clear  
8 that Defendant's policy impermissibly violated Plaintiffs' First  
9 Amendment rights because it is not reasonably related to a  
10 legitimate penological interest.

#### 11 B. Alternative Means

12 The second factor requires the Court to examine whether  
13 Plaintiffs had alternative means of exercising their First  
14 Amendment rights. The Supreme Court instructs, "Where 'other  
15 avenues' remain available for the exercise of the asserted right,  
16 courts should be particularly conscious of the 'measure of judicial  
17 deference owed to corrections officials . . . in gauging the  
18 validity of the regulation.'" Turner, 482 U.S. at 90 (quoting  
19 Pell, 417 U.S. at 827) (inner citation omitted; alterations in  
20 original). Defendant notes that Plaintiffs were not barred from  
21 receiving all publications and that inmates could receive paperback  
22 books. Because many books are not available in paperback, this  
23 factor only slightly supports the no-hardbound-books policy; the  
24 remaining two factors, however, do not support the no-hardbound-  
25 books policy.

## 1 C. Accommodation and Allocation of Prison Resources

2 The third factor requires the Court to examine the impact that  
3 the accommodation of the asserted constitutional right will have on  
4 guards and other inmates, and on the allocation of prison resources  
5 generally. Turner, 482 U.S. at 90. As Plaintiffs note, for many  
6 years, inmates were allowed to have hardbound books with the covers  
7 removed. Defendant provides no explanation for beginning to  
8 enforce the written no-hardbound-books policy. Instead, Defendant  
9 contends that prison officials were concerned that inmates would  
10 file claims for the damage to hardcover books and that their ban on  
11 hardbound books encourages the purchase of softcover books, which  
12 are easier to process because they do not have to be modified. As  
13 Plaintiffs note, however, inmates have to sign an agreement  
14 releasing PBSP and its staff from all liability before the cover is  
15 removed. And Defendant's contention that softcover books are  
16 easier to process does not address whether the accommodation of  
17 permitting hardbound books without the covers will have a  
18 significant negative impact on prison guards, other inmates and the  
19 allocation of prison resources. Indeed, PBSP's new written policy  
20 allowing inmates to have hardcover books without the cover  
21 indicates that the accommodation of Plaintiffs' First Amendment  
22 rights will not have a significant negative impact. This is  
23 especially true considering that allowing inmates to have hardbound  
24 books without the covers was the unwritten policy for many years, a  
25 fact Defendant ignores, but does not deny.

1 D. Reasonable Alternatives or "Exaggerated Response"

2 The final factor for the Court to consider is whether the  
3 policy is an exaggerated response to the prison's concerns. In  
4 Turner, the Supreme Court explained that "the absence of ready  
5 alternatives is evidence of the reasonableness of a prison  
6 regulation. By the same token, the existence of obvious, easy  
7 alternatives may be evidence that the regulation is not reasonable,  
8 but is an 'exaggerated response' to prison concerns." 482 U.S. at  
9 90. Here, Plaintiffs point to an alternative that fully  
10 accommodates the prisoners' rights at a de minimis cost to valid  
11 penological interests: the policy recently adopted by PBSP that  
12 permits hardcover books if their covers have been removed and if  
13 inmates sign an agreement absolving PBSP and its staff from  
14 liability surrounding the removal of the cover. The new policy is  
15 evidence that the total ban on hardcover books does not satisfy the  
16 reasonable-relationship standard. Id. at 90-91. Defendant's  
17 contention that the "proffered solution" of removing the covers of  
18 the book was not easy because it diminishes the durability of the  
19 book is not persuasive.

20 The Court finds that the ban on hardbound books with their  
21 covers removed was not reasonably related to legitimate penological  
22 interests. But, as Defendant notes, this policy has been revised.  
23 Defendant requests that the Court exercise its discretion not to  
24 grant Plaintiffs' request for declaratory relief, because of the  
25 revised policy. Maryland Cas. Co. v. Knight, 96 F.3d 1284, 1288  
26 (9th Cir. 1996) (district courts possess discretion in determining  
27 whether and when to entertain an action under the Declaratory  
28



Judgment Act). According to Defendant, in the unlikely event that the policy on hardcover books is again changed, Plaintiffs can challenge that policy by adding the claim to their next suit. The Court denies Defendant's request and grants Plaintiffs their requested declaratory relief: PBSP's prior policy of disallowing hardbound books was unconstitutional.

## II. Qualified Immunity

Defendant argues that he is entitled to qualified immunity. The defense of qualified immunity protects government officials "from liability for civil damages insofar as their conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known." Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). The threshold question is whether, taken in the light most favorable to the plaintiff, the facts alleged show that the official's conduct violated a constitutional right. Saucier v. Katz, 533 U.S. 194, 201 (2001). The plaintiff bears the burden of proving the existence of a clearly established right at the time of the allegedly impermissible conduct. Maraziti v. First Interstate Bank, 953 F.2d 520, 523 (9th Cir. 1992).

As discussed above, the ban on hardcover books, implemented and enforced by Defendant, violated Plaintiffs' First Amendment rights. Thus, the Court must next determine whether that right was clearly established: "The contours of the right must be sufficiently clear that a reasonable official would understand that what he is doing violates that right." Saucier, 533 U.S. at 201-2. To defeat the defendants' claim of qualified immunity, the plaintiff has "to show that the policy was such a far cry from what

1 any reasonable prison official could have believed was legal that  
2 the defendants knew or should have known they were breaking the  
3 law." Sorrels v. McKee, 290 F.3d 965, 971 (9th Cir. 2002). Here,  
4 it is reasonable that, even though the Court has found otherwise,  
5 Defendant McGrath could have thought that the hardbound book policy  
6 would pass muster under Turner. Inmates were still allowed access  
7 to millions of paperback books; the no-hardbound-books policy was  
8 modified to permit inmates to use educational books with the hard  
9 covers removed when Defendant learned that some education programs  
10 did not have softcover books available for their correspondence  
11 courses.

12 Plaintiffs respond that Defendant is not entitled to qualified  
13 immunity because the First Amendment right at issue here was  
14 clearly established. According to Plaintiffs, there is a series of  
15 cases showing that blanket banning of types of books violates the  
16 First Amendment rights of inmates. But in two of the cases  
17 Plaintiffs cite, the court found that the defendants were entitled  
18 to qualified immunity. See Johnson, 948 F.2d at 520-21 (holding  
19 that, in light of pre-existing law, the unlawfulness of denying  
20 access to softcover books from other parties is not apparent, and  
21 thus the defendants were entitled to prevail on their defense of  
22 qualified immunity); Keenan, 83 F.3d at 1093 (noting that qualified  
23 immunity protected the defendants from damages liability on the  
24 plaintiff's claim challenging the prison's policy under which only  
25 publishers may send reading materials to the inmates). Although  
26 Bell established that the prohibition against receipt of hardbound  
27 books unless mailed directly from publishers, book clubs, or  
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1 bookstores does not violate the First Amendment rights of inmates,  
2 it did not clearly establish the right to receive hardbound books  
3 without the covers in situations where inmates were allowed access  
4 to non-hardbound publications. See Bell, 441 U.S. at 550.

5 Plaintiffs do not meet their burden of proving the existence  
6 of a clearly established right. Nor do Plaintiffs provide any  
7 authority to support their assertion that the alleged retaliation  
8 claim precludes any finding that Defendant is entitled to qualified  
9 immunity. The Court finds that Defendant is entitled to summary  
10 judgment of qualified immunity from Plaintiff Ashker's damages  
11 claim against him.

#### 12 CONCLUSION

13 For the foregoing reasons, the Court GRANTS in part  
14 Plaintiffs' Motion for Summary Judgment/Partial Summary Judgment or  
15 Alternative Motion for Preliminary Injunction (Docket No. 38) and  
16 DENIES it in part. Specifically, the Court determines that summary  
17 judgment regarding Plaintiffs' request for declaratory relief is  
18 proper: PBSP's prior policy of disallowing hardbound books, even  
19 those with the covers removed, was unconstitutional. The Court,  
20 however, will not issue an injunction because PBSP has revised its  
21 policy; PBSP no longer prohibits hardcover books with their covers  
22 removed.

23 Defendant's Cross-Motion for Summary Judgment (Docket No. 63)  
24 is DENIED in part and GRANTED in part: Defendant McGrath is  
25 entitled to qualified immunity.

26 Judgment shall enter accordingly. As discussed at the  
27 hearing, Plaintiffs' counsel has sixty days to file a motion for  
28

1 attorney's fees.

2 IT IS SO ORDERED.

3  
4 Dated: 3/8/06



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CLAUDIA WILKEN  
United States District Judge